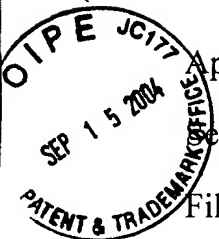


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Rainer KRÖPKE et al.

Confirmation No. 9720

Serial No. : 10/815,703

Group Art Unit: 1615

Filed : April 2, 2004

Examiner: unknown

For : BETAINE-CONTAINING COSMETICS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.98, Applicants hereby direct the Examiner's attention to the following documents cited in the International Search Report for International Application PCT/EP02/10919 of which the above-referenced application is a National Stage:

- (1) WO 02/43689 A2, June 6, 2002;
- (2) U.S. Patent Application Publication US 2004/0109835 A1 (LOFFLER et al.), June 10, 2004; Applicants note that this document is a family member of document (1);
- (3) WO 02/26203 A2, April 4, 2002;
- (4) EP 1 136 062 A1, September 26, 2001;
- (5) U.S. Patent Application Publication US 2001/0019717 A1 (NOJIRI et al.), September 6, 2001; Applicants note that this document is a family member of document (5);

- (6) EP 1 050 298 A2, November 8, 2000;
- (7) U.S. Patent No. 6,333,027 B1 (HOPKINS et al.), December 25, 2001; Applicants note that this document is a family member of document (6);
- (8) EP 0 913 390 A2, May 6, 1999;
- (9) U.S. Patent No. 6,387,359 B1 (ISHII et al.), May 14, 2002; Applicants note that this document is a family member of document (8);
- (10) EP 0 399 909 A1, November 28, 1990, accompanied by an English language abstract thereof (provided by esp@cenet);
- (11) JP 8-81348 A, March 26, 1996, accompanied by an English language abstract thereof (provided by Derwent DATABASE WPI);

Applicants further direct the Examiner's attention to the following documents cited in the German Search Report for German Patent Application 101 48 966.8 of which the above-referenced application claims priority:

- (12) DE 195 20 859 A1, December 12, 1996, accompanied by an English language abstract thereof (provided by esp@cenet); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claims 1-6 in combination with page 3, lines 29-33, 52, 53, Examples 1,4;

(13) DE 35 33 492 A1, April 10, 1986; this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claims 11-13, page 10, lines 20-22, Examples;

(14) U.S. Patent No. 4,574,081 (SHYMON), March 4, 1986; Applicants note that this document is a family member of document (13);

(15) CH 687 126 A5, September 30, 1996; accompanied by an English language abstract thereof (provided by esp@cenet); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passage of this document is indicated as relevant: Example 4;

(16) U.S. Patent No. 4,701,318 (FERLAUTO, Jr. et al.), October 20, 1987; this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claim 1, Examples;

(17) WO 95/05796 A1, March 2, 1995; this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claims 3, 4 in combination with page 7, lines 9-23 and Examples;

(18) U.S. Patent No. 5,443,817 (ZIMMERMANN et al.), August 22, 1995; Applicants note that this document is a family member of document (17);

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(19) English language abstract of JP 2001-64149, March 13, 2001 (CA 134:227105x); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claims 1, 4 in combination with page 3, lines 11, 16-23;

(20) English language abstract of JP 2001-26512, January 30, 2001 (CA 134:120610f); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself; the following passages of this document are indicated as relevant: claims 1, 6, 8, 9 in combination with page 2, lines 29-32, page 4, lines 23-28;

(21) English language abstract of JP 2000-264829, September 26, 2000 (CA 133:242445f); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(22) English language abstract of JP 2000-229830, August 22, 2000 (CA 133:182771x); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(23) English language abstract of JP 2000-178165, June 27, 2000 (CA 133:63613n); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(24) English language abstract of JP 2000-119166, April 25, 2000 (CA 132:283935p);

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this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(25) English language abstract of JP 2000-53528, February 22, 2000 (CA 132:170868a); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(26) JP 7-215841 A, August 15, 1995; accompanied by an English language abstract thereof (CA 123:349875s); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(27) JP 7-216386 A, August 15, 1995; accompanied by an English language abstract thereof (CA 123:349876t); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(28) JP 7-25726 A, January 27, 1995; accompanied by an English language abstract thereof (CA 122:248047x); this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself;

(29) English language abstract (provided by Patent Abstracts of Japan) of JP 9-176034 A, July 8, 1997; this document is categorized in the German Search Report as X document, i.e., casting doubt on novelty or non-obviousness by itself.

Copies of the above-listed documents (with the exception of U.S. patents and published U.S. patent applications), the International Search Report (in English) for

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International Application PCT/EP02/10919 and of the German Search Report for German Patent Application 101 48 966.8 are enclosed together with a completed copy of the PTO-1449 Form listing these documents. Accordingly, the Examiner is requested to consider these documents and to indicate such consideration by returning a signed and initialed copy of the PTO-1449 Form with the next official communication.

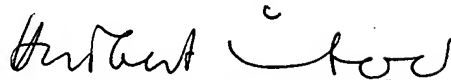
Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. § 1.98 (a)(2)(I), copies of the U.S. patents and published U.S. patent applications cited above are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants note that an Office Action on the merits has not yet issued in the instant application, and thus, no fee is believed necessary to ensure consideration of this statement. However, if an Office Action has issued and is crossing in the mail with this statement, the Commissioner is hereby authorized to charge Deposit Account No. 19-0089 any fee necessary to ensure consideration of the submitted materials.

If there should be any questions, the Examiner is invited to contact the undersigned at the telephone number listed below.

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Respectfully submitted,
Rainer KRÖPKE et al.



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FORM PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
P25110Application No.
10/815,703INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

Applicant
Rainer KRÖPKE et alFiling Date
April 2, 2004Group
1615

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASSES	SUBCLASS	FILING DATE IF APPROPRIATE
	2004/ 0 1 0 9 8 3 5	06/10/04	LOFFLER et al			
	2001/ 0 0 1 9 7 1 7	09/06/01	NOJIRI et al			
	6 3 3 3 0 2 7	12/25/01	HOPKINS et al			
	6 3 8 7 3 5 9	05/14/02	ISHII et al			
	4 5 7 4 0 8 1	03/04/86	SHYMON			
	4 7 0 1 3 1 8	10/20/87	FERLAUTO, Jr. et al.			
	5 4 4 3 8 1 7	08/22/95	ZIMMERMANN et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	0 2 / 4 3 6 8 9	06/06/02	W.I.P.O				
	0 2 / 2 6 2 0 3	04/04/02	W.I.P.O				
	1 1 3 6 0 6 2	09/26/01	E.P.O				
	1 0 5 0 2 9 8	11/08/00	E.P.O				
	0 9 1 3 3 9 0	05/06/99	E.P.O				
	0 3 9 9 9 0 9	11/28/90	E.P.O				
	8 - 8 1 3 4 8	03/26/96	JAPAN				
1	9 5 2 0 8 5 9	12/12/96	GERMANY				
	3 5 3 3 4 9 2	04/10/86	GERMANY				
	6 8 7 1 2 6	09/30/96	SWITZERLAND				
9	5 / 0 5 7 9 6	03/02/95	W.I.P.O				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	English Language Abstract of EP 0399909.
2	English Language Abstract of JP 8-81348.
3	English Language Abstract of DE 19520859.
4	English Language Abstract of CH 687126.
5	English Language Abstract of JP 2001-64149.
6	English Language Abstract of JP 2000-264829.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	7	- 2 1 5 8 4 1	08/15/95	JAPAN			
	7	- 2 1 6 3 8 6	08/15/95	JAPAN			
	7	- 2 5 7 2 6	01/27/95	JAPAN			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	7	English Language Abstract of JP 2001-26512.
	8	English Language Abstract of JP 2000-229830.
	9	English Language Abstract of JP 2000-178165.
1	0	English Language Abstract of JP 2000-119166.
1	1	English Language Abstract of JP 2000-53528.
1	2	English Language Abstract of JP 7-215841.
1	3	English Language Abstract of JP 7-216386.
1	4	English Language Abstract of JP 7-25726.
1	5	English Language Abstract of JP 9-176034.

EXAMINER

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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.